

Work Vapor

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Compliance Services Consultant – Remote Central Eastern USA

Description

Company: Confluence Technologies

Position: Compliance Services Consultant

Industry: Investment Management | Financial Services | Regulatory Compliance

Location: Remote – United States (Central or Eastern Time Zones Preferred)

Employment Type: Full Time

Contact Us:

Email: recruitment@confluence.com

Key Responsibilities:

- Act as outsourced Chief Compliance Officer (CCO) for private fund and wealth management clients
- Advise on Investment Advisers Act, Investment Company Act, and Securities Exchange Act compliance
- Lead annual compliance reviews, risk assessments, and regulatory filings
- Manage and support clients during SEC examinations and regulatory inquiries
- Build strong advisory relationships with C-suite and senior leadership

Skills & Qualifications:

- 7+ years in regulated investment industry (compliance, consulting, or regulatory)
- Direct CCO experience (in-house or outsourced) with accountability
- Strong knowledge of Investment Advisers Act of 1940 (essential)
- Experience with private equity, hedge funds, private credit, or retail wealth managers
- Proven ability to mentor junior professionals

Preferred Credentials:

- Law degree or postgraduate compliance/legal education
- Certifications such as IACCP, CAMS/ACAMS, CRCP, or CFE
- FINRA licenses (e.g., Series 24)
- Experience with IARD, CRD, PFRD, EDGAR, and compliance software
- Exposure to CFTC/NFA regulations

Additional Information:

- Moderate travel up to approximately 40%
- Flexible working arrangements
- Global career development opportunities
- Referral bonus up to \$3,000
- Pre-employment background screening required

Hiring organization

Confluence Technologies

Employment Type

Full-time

Job Location

US

Remote work from: USA

Date posted

02/12/2026

How to Apply

Apply through the official Confluence careers page